Monitoring and Oversight

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NORTH CENTRAL TEXAS
QUALIFIED CANDIDATES. BETTER JOBS. NO CHARGE.

Our Risk-Based Approach:

Programmatic and Fiscal monitoring oversight:

- Collaborative to provide comprehensive risk management from a fiscal and programmatic perspective
- The NCTCOG monitoring function is “certified” by the Texas Workforce Commission, which means they place strong reliance on the integrity of our work and consider the funds managed by the NCTCOG to be of lower risk
- Monitoring was recertified by TWC in January 2021.

Monitoring and Oversight (cont'd)

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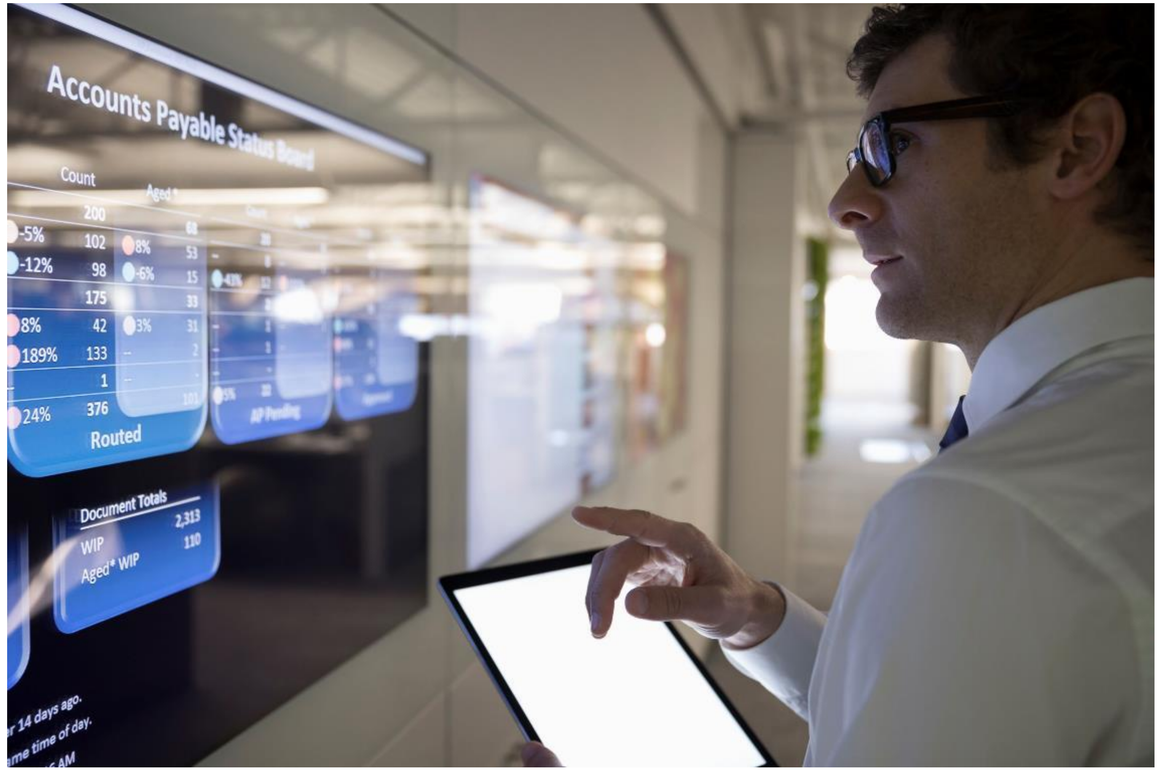
Programmatic Monitoring

- All programs were monitored in 2020 along with other contractual requirements such as EO, ADA and PII compliance
- Subrecipient (Equus, formerly ResCare) data integrity and case management continues to be an issue

Fiscal Monitoring

- Numerous reviews performed on Equus, including analysis and testing of substantive items
- NCTCOG found excellent fiscal results while reviewing Equus with only minor observations, which were addressed immediately



Monitoring and Oversight (cont'd)

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TWC Annual Comprehensive Monitoring 2020:

- TWC noted one Programmatic Finding for Equus data entry/integrity errors in the SNAP Program. TWC had no Findings on NCTCOG management and oversight fiscally or programmatically.
- NCTOCG has maintained a higher level of review of Equus data entry/data integrity for the SNAP Program.
- While TWC has closed out the Finding and considers it resolved, NCTCOG remains diligent in reviewing the program to ensure data integrity success.

Monitoring and Oversight (cont'd)

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TWC Annual Comprehensive Monitoring 2021:

- TWC had no Findings for Equus or NCTCOG but did cite some areas to address:
  - COVID -19 Disbursement – One Provider received payments that had to be recouped ($1,089.56)
  - Automation System Terminations for the TWC TWIST Automation System
  - Child Care Referrals (for DFPS) were not all case managed and entered into TWIST System within 5 days
  - Child Care Fraud Fact Finding Automation System needing to add journal entries when the case required more than 90 days to review

Monitoring and Oversight (cont'd)

TWC Annual Comprehensive Monitoring 2021:

- TWC had no Findings on NCTCOG management and oversight fiscally or programmatically.
- TWC "traced" all monitoring performed by fiscal and programmatic monitoring staff in 2020, as well as reviewed processes and procedures.
- This tracing and review resulted in staff and function recertification for three years.